Andreev reflection through Fano resonances in molecular wires

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We study Andreev reflection in a normal conductor-molecule-superconductor junction using a first-principles approach. In particular, we focus on a family of molecules consisting of a molecular backbone and a weakly coupled side group. We show that the presence of the side group can lead to a Fano resonance in the Andreev reflection. We use a simple theoretical model to explain the results of the numerical calculations and to make predictions about the possible subgap resonance structures in the Andreev reflection coefficient.

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Fano resonances (FRs) (Ref. 1) are a universal interference phenomenon which can affect coherent electrical transport through nanostructures in many different systems. Examples of Fano line shapes in mesoscopic systems include scanning tunneling microscope measurements on a single magnetic atom absorbed on a gold surface,^{2,3} single-electron transistors fabricated into a gated two-dimensional electron gas,⁴ quantum dots embedded into an Aharonov-Bohm ring,^{5,6} multiwall carbon nanotubes,^{7–9} and recently singlewall carbon nanotubes¹⁰ and double-wall nanotubes.¹¹ FRs also appear in the conductance of quasi-one-dimensional quantum wires with donor impurities¹² and in the case of quantum wires with a side coupled quantum dot.¹³ In molecular electronics, due to the realistic treatment of the metal electrodes, FRs have been found in the transmission of dithiol benzene.14 More generally, theoretical calculations predict that Fano line shape should appear in the transmission through molecular wires with attached side groups¹⁵ or as a consequence of quantum interference between surface states of the measuring electrodes and the molecular orbitals.¹⁶ It is also interesting to note that theoretical calculations suggest an interesting link between Fano resonances and phase randomization and hence decoherence in mesoscopic systems.¹⁷

If one of the measuring probes is superconducting, the conductance for energies E smaller than the superconducting pair potential Δ depends on the Andreev reflection probability $R_A(E)$. The Andreev reflection in various mesoscopic systems has been studied for a long time (see, e.g., Refs. 18 and 19 and references therein) but the interest has recently been renewed when Andreev reflection through carbon nanotubes was measured experimentally.^{20,21} These experiments have sparked numerous theoretical studies both in the absence of the electron-electron interaction 22,23 and in the presence of the interaction.^{24–33} In many of these studies it was assumed that it was sufficient to consider resonant transport through a single energy level and, as a consequence, the Andreev reflection as a function of energy exhibited Breit-Wigner-type resonances. A notable exception is Ref. 34 where transport through an Aharonov-Bohm ring with an interacting quantum dot situated in one of its arms was considered and a Fano-type asymmetric resonance was found in the conductance. Very recently, Tanaka et al.35 studied Andreev transport through side-coupled interacting quantum dots focusing on the interplay of Andreev scattering and Kondo effect.

The importance of FRs in molecular electronics lies in

that they appear whenever one adds functional side groups to a molecular backbone to achieve desirable electrical and sensing properties. This was first demonstrated in Ref. 15. It was also shown that for a certain type of molecular wires a FR can appear in the normal conductance $G_N(E)$ very close to the Fermi energy E_F . In a normal metal-moleculesuperconductor (N-Mol-S) junction, therefore, these FRs would also affect the subgap transport. The aim of this paper is to study Andreev reflection through molecular wires when the normal conductance exhibits FRs close to the Fermi energy. Performing ab initio simulations of molecular wires in N-Mol-S junctions we show how FRs influence the subgap transport. We elucidate the results of the numerical calculations using a simple analytic model. We also predict that for finite energies the differential conductance can reach the unitary limit if there is a strong asymmetry in the coupling to the leads.

Since Andreev scattering is a phase-coherent phenomenon, the observation of Andreev scattering in single molecules would be very important because it could provide insight into an unsettled issue of molecular electronics: the range of applicability of theories based on coherent transport. A possible experimental setup to measure Andreev reflection in N-Mol-S junctions is shown in Fig. 1. The molecule is contacted with thin gold electrodes on both sides. On top of one of the electrodes a second layer of, e.g., aluminum or niobium is deposited, which at low enough temperature becomes superconducting. Due to the proximity effect this top layer induces superconductivity in the gold electrode beneath (in our calculations we assume that the induced superconductivity is s type). We note that this setup was successfully used in Ref. 21 to study Andreev reflection in normal nanotube-superconductor conductor-carbon (N-Cn-S) junctions.

To study FRs in a N-Mol-S system, we choose the smallest molecule of a recently synthesized family of molecular



FIG. 1. (Color online) Possible experimental setup. The molecule is contacted by gold electrodes, one of which is superconducting due to the proximity effect.

wires.^{36–38} Since these molecules have terminal thiol groups they can easily bind to gold surfaces, making them ideal for experiments on single-molecule transport properties. The central part of the molecule consists of a single fluorenone unit, which could be chemically modified, e.g., by replacing the oxygen with bipyridine rings, as shown schematically in Fig. 1. The differential conductance of the system was calculated using a combination of the DFT code SIESTA (Ref. 39) and a Green's function scattering approach explained in Refs. 40 and 41. Initially the isolated molecule is relaxed to find the optimum geometry, then the molecule is extended to include surface layers of the gold leads. In this way, charge transfer at the gold-molecule interface is included selfconsistently. The number N_g of gold layers is increased until computed transport properties between the (normal conducting) gold leads no longer changed with increasing N_{g} . Typically, this extended molecule contained $N_g=3$ to 4 gold layers on each side, and the layers consisted of nine atoms on the (111) plane. The leads, which were assumed to be periodic in the transport direction, also consisted of gold layers containing nine atoms on the (111) plane. Using a double- ζ polarization plus orbitals, Troullier-Martins basis pseudopotentials,⁴² and the Ceperley-Alder local-density approximation method to describe the exchange correlation,⁴³ effective tight-binding Hamiltonians H_M , H_L of the extended molecule and of the leads, respectively, were obtained. To investigate the generic physics of this system, we employ the simplest possible approximation for the order parameter, namely, that it changes in a step-function-like manner at the superconducting lead-extended molecule interface. Therefore the superconducting lead was modeled by introducing couplings of constant magnitude Δ between the electron and hole degrees of freedom in H_L , while no such coupling was present in the extended molecule and in the normal lead. We focus on subgap transport and therefore compute the And reev-reflection probability $R_A(E)$, because at zero temperature for $E < \Delta$ the differential conductance is given by $G_{NS}(E) = \frac{4e^2}{h} R_A(E).$

The calculations of Ref. 15 have shown that by changing the rotational conformation of the bipyridine unit it is possible to change the position of the Fano resonance with respect to E_F . The definition of the angle of rotation θ of the bipyridine group is the following: $\theta=0^\circ$ when the rings of the side group are parallel to the molecule axis and it is 90° when they lie perpendicular.

We consider the molecule whose rotational conformation is θ =71.4°. Assuming first that both leads are normal conducting (N-Mol-N junction), close to the Fermi energy there is a FR in the differential conductance $G_N(E) = \frac{2e^2}{h} T_N(E)$ [where $T_N(E)$ is the normal transmission] as it can be seen in Fig. 3. Since for conventional superconductors the typical superconducting gap values are 0.1–1.5 meV, we first consider the transport for energies $E < \Delta = 1.35$ meV. The Fano peak in $G_N(E)$ is at $\delta E \approx 3.7$ meV above E_F ; therefore δE is bigger than Δ and the influence of this resonance on the subgap transport can be understood by considering the zero bias conductance $G_{NS}(0)$. Indeed, as Fig. 2 shows, the Andreev reflection is almost constant apart from the region $E \approx \Delta$ where a sharp peak can be observed which is due to the



FIG. 2. $T_N(E)$ (dashed line) and $R_A(E)$ (solid line) as a function of energy. We used $\Delta = 1.35$ meV. The sharp peak in $R_A(E)$ is at $E \approx \Delta$ (see main text).

singularity in the density of states of the superconductor at this energy. One can see that off-resonance $G_{NS}(0)$ is smaller than $G_N(0)$.

More generally, however, if there is a narrow $\Gamma \leq \Delta$ resonance at some $|\delta E| < \Delta$ above or below E_F , the energy dependence of the Andreev reflection becomes important. (In the case, e.g., of carbon nanotubes, which can be gated, this scenario should be easily attainable, as in Ref. 10 where the width of the Fano peak was $\approx 0.2 \text{ meV}$). To illustrate this case, we performed computations using the same molecule but much bigger Δ .

The results of the computations are shown in Fig. 3. As one can see, a Fano resonance now appears both in G_N and G_{NS} . However, a closer inspection reveals that the width of the Fano peak in G_{NS} at ≈ 3.7 meV is roughly half of the width of the corresponding peak in G_N .

To explain the results of the numerical calculations we consider a simple model, introduced in Ref. 15, which was shown to capture the essential features of the transport between normal conducting leads. Close to a resonance, it is sufficient to consider a single backbone state $|f_1\rangle$ with resonant energy $\tilde{\varepsilon}_1$ and a state $|f_2\rangle$ of energy $\tilde{\varepsilon}_2$ which is associated with a side group of the molecule ($\tilde{\varepsilon}_1$ and $\tilde{\varepsilon}_2$ are measured relative to the E_F). The weak coupling between the backbone of the molecule and the side group is described by a matrix H_{12} . We denote by $t_c = \langle f_2 | H_{12} | f_1 \rangle$ the coupling between the two states, whereas the coupling of the backbone



FIG. 3. Normal differential conductance $G_N(E)$ (in units of $2e^2/h$, dashed line) and subgap conductance $G_{NS}(E)$ (in units of $4e^2/h$, solid line) in logarithmic scale as a function of energy. We used Δ =41 meV.

state to the normal (superconductor) lead is described by matrices $W_N(W_S)$. A brief derivation of the Andreev reflection probability $R_A(E)$ for this system is given in the Appendix; here we only summarize the main results.

The linear conductance is given by

$$G_{NS}(0) = \frac{4e^2}{h} \frac{4\Gamma_L^2 \Gamma_R^2 \widetilde{\varepsilon}_2^4}{\left[(\widetilde{\varepsilon}_+ \widetilde{\varepsilon}_-)^2 + (\Gamma_L^2 + \Gamma_R^2) \widetilde{\varepsilon}_2^2\right]^2}.$$
 (1)

Here Γ_L (Γ_R) is the normal state tunneling rate to the left (right) lead at E_F and $\tilde{\varepsilon}_{\pm} = \bar{\varepsilon} \pm \sqrt{\delta \varepsilon^2 + t^2}$ where $\bar{\varepsilon} = (\tilde{\varepsilon}_1 + \tilde{\varepsilon}_2)/2$, $\delta \varepsilon = (\tilde{\varepsilon}_1 - \tilde{\varepsilon}_2)/2$. The maximal conductance is attained at Γ_L $= \Gamma_R$, $\tilde{\varepsilon}_{\pm} = 0$ when it is twice as large as the normal conductance. Note that the conductance maximum is not attained when $\tilde{\varepsilon}_1$ is aligned with E_F as one might expect. The hybridization between $\tilde{\varepsilon}_1$ and $\tilde{\varepsilon}_2$ due to the coupling t_c leads to a different resonance condition for this system. Off-resonance, i.e., when $\tilde{\varepsilon}_{\pm} \neq 0$, G_{NS} falls off more rapidly as a function of $\tilde{\varepsilon}_{\pm}$ than G_N [see Eq. (1) in Ref. 15]. Therefore the $G_{NS}(0)$ is usually smaller than $G_N(0)$. Moreover, G_{NS} is zero if $\tilde{\varepsilon}_2 = 0$, i.e., when the energy of the side coupled state equals E_F .

For finite energies $E < \Delta$ the most important features of the differential conductance of our model are the following. If the coupling to the normal lead is stronger than to the superconducting one, i.e., when $\sqrt{\Gamma_N^e \Gamma_N^h} \gtrsim |\sigma_S^{eh}|$ where $\Gamma_N^e(\Gamma_N^h)$ are tunneling rates for electrons (holes) from the normal lead and σ_S^{eh} is an off-diagonal element of the selfenergy matrix Σ_S (see the Appendix for the precise definitions, as well as for the definitions of ε_+^e , ε_-^e , to be introduced below), in good approximation

$$G_{NS}(E) = \frac{4e^2}{h} A(E) T_e(E), \qquad (2)$$

where the amplitude A(E) is a slowly varying function of the energy and

$$T_e(E) = \frac{\Gamma_N^e \Gamma_N^h (E - \tilde{\varepsilon}_2)^2}{\left[(E - \varepsilon_+^e) (E - \varepsilon_-^e) \right]^2 + (\Gamma_N^e)^2 (E - \tilde{\varepsilon}_2)^2}.$$
 (3)

Assuming a weak coupling between $|f_1\rangle$ and $|f_2\rangle$, i.e., that $t_c \ll \delta \varepsilon = |\varepsilon_+^e - \varepsilon_-^e|$ (which also means that $\varepsilon_-^e \approx \tilde{\varepsilon}_2$), for energies close to ε_-^e the probability amplitude $T_e(E)$ can be further approximated by

$$T_e(E) \approx \mathcal{A} \frac{(\epsilon + q)^2}{\alpha^2 \epsilon^2 + 1},$$
 (4)

where $\mathcal{A} = \Gamma_N^e \Gamma_N^h / (\varepsilon_-^e - \widetilde{\varepsilon}_2)^2$, $\boldsymbol{\epsilon} = (E - \varepsilon_-^e) / \Gamma_N^e$, $\alpha^2 = (\varepsilon_-^e - \varepsilon_+^e)^2 / (\varepsilon_-^e - \widetilde{\varepsilon}_2)^2$, and $q = (\varepsilon_-^e - \widetilde{\varepsilon}_2) / \Gamma_N^e$. Therefore, if $0 < \varepsilon_-^e, \widetilde{\varepsilon}_2 < \Delta$ a FR will appear in the subgap transport [see Fig. 4(a)]. For strong coupling such that $\Gamma_N^e \gg |\varepsilon_-^e - \widetilde{\varepsilon}_2|$ the Fano line shape would become a symmetric dip. If, however, $\varepsilon_+^e < \Delta < \varepsilon_-^e, \widetilde{\varepsilon}_2$ is satisfied, a Breit-Wigner resonance (BWR) of width Γ_N^e occurs [shown in Fig. 4(b)], while for $0 < \varepsilon_+^e, \varepsilon_-^e, \widetilde{\varepsilon}_2 < \Delta$ the Andreev reflection exhibits both a FR and a BWR [Fig. 4(c)]. Note that $T_e(E)$ is very similar to the transmission amplitude $T_N(E)$ calculated in Ref. 15 for normal conducting leads. Since for $\sqrt{\Gamma_N^e \Gamma_N^h} \approx |\sigma_S^{eh}|$ the resonance energies $\varepsilon_-^e, \varepsilon_+^e, \varepsilon_+^e$ are usually very close to the resonance energies appearing in the expression of $T_N(E)$, one finds that the



FIG. 4. G_N (in units of $2e^2/h$, dashed line) and G_{NS} (in units of $4e^2/h$, solid line) in logarithmic scale as a function of energy. We used $\sqrt{\Gamma_N^e \Gamma_N^h} / |\sigma_S^{eh}| = 4$ in the case of (a)–(c) and $\sqrt{\Gamma_N^e \Gamma_N^h} / |\sigma_S^{eh}| = 0.25$ in the case of (d).

resonance structures of the normal conductance will also appear in the subgap transport if the relevant resonance energies are smaller than the superconducting pair potential. This explains the occurrence of a Fano resonance in $G_{NS}(E)$ in Fig. 3. However, since A(E) in Eq. (2) is usually much smaller than unity, $G_{NS}(E)$ itself can also be smaller than $G_N(E)$. The widths of the resonances in the Andreevreflection coefficient can be significantly smaller than in the normal transmission. This happens because coupling to the superconductor does not lead to the broadening of the resonant levels. Therefore if $\sqrt{\Gamma_N^e \Gamma_N^h} \ge |\sigma_S^{eh}|$ the peaks in the normal and in the Andreev transport have roughly the same width while for $\sqrt{\Gamma_N^e \Gamma_N^h} \gtrsim |\sigma_S^{eh}|$ the width of the peaks in the Andreev reflection is *half* of the width of the corresponding peaks in the normal transmission. This can also be observed in Fig. 3. We note that for $E \approx \Delta$ where σ_S^{eh} changes rapidly with energy the formula shown in Eq. (2) is not applicable because in the derivation of Eq. (2) we have assumed that the self-energy $\sigma_{\rm S}^{eh}$ is a slowly varying function of the energy.

Finally, we briefly discuss the predictions of our model for the case when the coupling to the superconductor is stronger than to the normal lead, i.e., when $|\sigma_S^{eh}| \ge \sqrt{\Gamma_N^e \Gamma_N^h}$. The conductance can no longer be approximated by Eq. (2) because σ_{S}^{eh} introduces hybridization between electron and hole levels. We find that in the most general case the conductance exhibits both a FR and a BWR, if the corresponding resonance energies are smaller than the superconducting gap. These peaks, as mentioned before, can be much narrower than the ones in the normal transmission because the superconductor does not broaden them. Moreover, we find that for $|\sigma_S^{eh}| \gg \sqrt{\Gamma_N^e \Gamma_N^h}$ the conductance can even reach the unitarity limit. This could not happen in the opposite $|\sigma_S^{eh}|$ $\ll \sqrt{\Gamma_N^e \Gamma_N^h}$ case because a resonance in $T_e(E)$ is not accompanied by a resonance in A(E) and therefore the conductance is always smaller than $4e^2/h$. We illustrate this in Fig. 4(d) where G_{NS} is shown along with G_N . One can see that G_N $<2e^2/h$ because the couplings to the leads are asymmetric and there is a broad resonance at $E/\Delta \approx 0.15$ along with an almost symmetric, narrow dip at $E/\Delta \approx 0.32$. In contrast, G_{NS} has a narrow FR and also a BWR, the latter peak reaching the unitarity limit.

In summary, in our paper we bring together two hitherto separate fields of research, namely, mesoscopic superconductivity and single-molecule electronics. We have studied the Andreev reflection through a class of molecules which exhibit Fano resonances in the normal conductance. Our numerical calculations based on *ab initio* methods indicate that Fano resonances may also appear in the subgap transport. A simple theoretical model that we used to understand the results of the numerical calculations predicts that (a) if the coupling to the normal lead is weaker than the coupling to the superconducting one, the resonance structure of the normal conductance can manifest itself in the Andreev reflection coefficient if the resonance energies are smaller than the superconducting gap and (b) if the coupling to the superconductor is strong, the resonances in the normal conductance and in the Andreev reflection can be very different, both in position and in width.

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APPENDIX

There are numerous equivalent approaches to calculate transport coefficients through phase coherent normal-superconductor hybrid systems.⁴⁴ Here we employ the Green's function technique presented in Ref. 45 in which the Hilbert space is divided into a subspace A containing the external leads and a subspace B containing the molecule.

Assuming for a moment that the molecule is isolated, for energies close to a resonance it can be described by quantum states $|f_1\rangle$, $|f_2\rangle$ with resonant energies ε_1 , ε_2 . These states are coupled together by a Hamiltonian H_{12} with matrix element $t_c = \langle f_1 | H_{12} | f_2 \rangle$. The effect of coupling of the molecule to the normal conducting (superconducting) lead via a coupling matrix $W_N(W_S)$ is represented by the energy-dependent selfenergy matrices $\Sigma_N = \sigma_N - i\Gamma_N (\Sigma_S = \sigma_S - i\Gamma_S)$ where σ_N , Γ_N , and (σ_S, Γ_S) are Hermitian. We assume that the coupling matrices are diagonal in the quasiparticle e, h space:

$$W_{N,S} = \begin{pmatrix} W_{N,S}^e & 0\\ 0 & W_{N,S}^h \end{pmatrix},\tag{A1}$$

where $W_{N(S)}^{e} = -(W_{N(S)}^{h})^{*}$. Since the Green's function of the (isolated) normal lead is also diagonal in the quasiparticle space, so will be $\Sigma_{N} = \text{Diag}(\sigma_{N}^{e} - i\Gamma_{N}^{e}, \sigma_{N}^{h} - i\Gamma_{N}^{h})$, too. The self energy coming from the coupling to the superconductor has both diagonal and off-diagonal parts, but for $E \leq \Delta$ it reads

$$\boldsymbol{\Sigma}_{S} = \begin{pmatrix} \boldsymbol{\sigma}_{S}^{e} & \boldsymbol{\sigma}_{S}^{eh} \\ \boldsymbol{\sigma}_{S}^{he} & \boldsymbol{\sigma}_{S}^{h} \end{pmatrix},$$
(A2)

i.e., the superconducting lead does not broaden the levels. Moreover, since $|f_2\rangle$ is only coupled with $|f_1\rangle$ but not with any of the leads, the self-energy matrix elements of the matrices Σ_N , Σ_S will only affect the resonance energy ε_1 of the backbone state but not the energy $\tilde{\varepsilon}_2 = \varepsilon_2 - E_F$ of the side coupled state. We now introduce the following notations: $\tilde{\varepsilon}_1^{e,h} = \varepsilon_1 - E_F - (\sigma_N^{e,h} + \sigma_S^{e,h})$ [where $\tilde{\sigma}_{N,S}^{e,h}$ are the (only) nonzero element of the matrices $\sigma_{N,S}^{e,h}$], $\overline{\varepsilon}^{e,h} = (\tilde{\varepsilon}_1^{e,h} + \tilde{\varepsilon}_2)/2$, $\delta \varepsilon^{e,h}$ $= (\tilde{\varepsilon}_1^{e,h} - \tilde{\varepsilon}_2)/2$, and $\varepsilon_{\pm}^{e,h} = \bar{\varepsilon}^{e,h} \pm \sqrt{(\delta \varepsilon^{e,h})^2 + t_c^2}$. Denoting by $\mathbf{G}_{BB}(E)$ the retarded Green's function of the molecule and using the formula⁴⁵

$$R_A = \operatorname{Tr}[\Gamma_N^e \mathbf{G}_{BB}(E)\Gamma_N^h \mathbf{G}_{BB}^{\dagger}(E)]$$
(A3)

to calculate the probability of the Andreev reflection, we find after straightforward calculations that

$$R_A = \frac{4\Gamma_N^e \Gamma_N^h (E - \tilde{\varepsilon}_2)^2 (E + \tilde{\varepsilon}_2)^2 (\sigma_S^{eh})^2}{|D|^2}.$$
 (A4)

Here the denominator is

$$D = [(E - \varepsilon_{+}^{e})(E - \varepsilon_{-}^{e}) + i(E - \tilde{\varepsilon}_{2})\Gamma_{N}^{e}][(E + \varepsilon_{+}^{h})(E + \varepsilon_{-}^{h}) + i(E + \tilde{\varepsilon}_{2})\Gamma_{N}^{h}] - (\sigma_{S}^{eh})^{2}(E - \tilde{\varepsilon}_{2})(E + \tilde{\varepsilon}_{2})$$
(A5)

and σ_{S}^{eh} , Γ_{N}^{e} , and Γ_{N}^{h} are the only nonzero elements of the matrices $\boldsymbol{\sigma}_{S}^{eh} = \boldsymbol{\sigma}_{S}^{he}$, Γ_{N}^{e} , and Γ_{N}^{h} .

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